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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number 2177
		Filing Date Aug 17, 2001
		First Named Inventor Chen
		Group Art Unit NYA
		Examiner Name NYA
Total Number of Pages in This Submission <i>3</i>	4	Attorney Docket Number 103.1073.01

ENCLOSURES (check all that apply)		
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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
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Firm or Individual name	Steven A. Swernofsky	
Signature		
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PTO/SB/81 (11-96)

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APPLICATION**

Application Number	09/932,579
Filing Date	August 17, 2001
First Named Inventor	Raymond C. Chen
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	103.1073.01

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Name	Registration Number
Gary E. Ross	29,431

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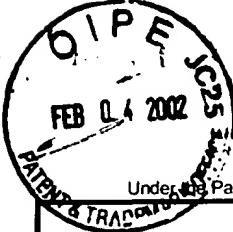
Applicant.

Assignee of record of the entire interest
Certificate under 37 CFR 3.73(b) is enclosed

SIGNATURE of Applicant or Assignee of Record

Name	Gary E. Ross, Chief Patent Counsel
Signature	
Date	10-19-01

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PTO/SB/96 (6-98)

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Raymond C. ChenApplication No./Patent No.: 09/932,579 Filed/Issue Date: August 17, 2002Entitled: Manipulation of Zombie Files and Evil-Twin FilesNetwork Appliance, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

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B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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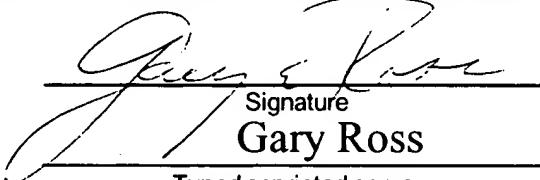
 Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached.

[NOTE] A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

10-19-01

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Signature
Gary Ross

Typed or printed name

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Title

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